

David Marx

Partner

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David structures securities offerings and negotiates mergers and acquisitions for public and private companies so they can raise capital, grow through transactions, and meet their SEC reporting and corporate governance obligations with confidence.

David Marx advises companies at every stage of their lifecycle — from initial formation through capital markets transactions and eventual sale or initial public offering (IPO). Based in Dorsey & Whitney's Salt Lake City office, David counsels both public and private companies on corporate and securities law, bringing particular depth to equity offerings, mergers and acquisitions (M&A), and ongoing public company compliance.

For companies accessing the capital markets, David represents issuers and underwriters in IPOs, follow-on offerings, registered direct offerings, PIPEs and at-the market offerings. He has guided clients through Securities and Exchange Commission (SEC) reporting requirements, Sarbanes-Oxley Act (SOX) compliance, and NASDAQ and NYSE listing standards, helping management teams navigate the demands of being a public company from day one.

On the transactional side, David advises buyers and sellers across a wide range of industries — including banking, healthcare and life sciences, food and agribusiness, energy, and technology — on acquisitions, divestitures, and tender offers. He also counsels boards and management on executive compensation, corporate governance, and general corporate matters.

David is recognized by Chambers USA in the Corporate/M&A category for Utah, a distinction he has held since 2009.

Within Dorsey, David serves as Co-Chair of the Capital Markets practice group.

Education

- Harvard Law School (J.D., 2002)
- Brigham Young University (M. Acc., 1999)
- Brigham Young University (B.S., Accounting, 1999)

Bar Admissions

- Utah

Select Experience

Securities Offerings

- Served as issuer's counsel for the initial public offering of People's Utah Bancorp.
- Served as issuer's counsel for the initial public offering of Great Basin Scientific, Inc.
- Served as issuer's counsel for the initial public offering of The Ensign Group, Inc.
- Served as underwriter's counsel for the initial public offering of Volcom, Inc.

Professional Affiliations

- Board Chair and Executive Committee Member, People Helping People
- Mentor, Utah State Bar

Accolades

- *Chambers USA*, Corporate/M&A, Utah, 2009-2026
- *Best Lawyers in America*®, 2014-2026
- *Salt Lake City Super Lawyer*®, 2017-2026
- *Utah Business Magazine Legal Elite*, Corporate Law and Transactions, Securities, 2015-2021
- *IFLR1000* Highly Regarded – State, 2019-2023
- *IFLR1000*, Capital Markets and Investment Funds, 2021
- *Mountain States Rising Star*, 2010, 2012-2014

Languages

- Japanese

Select Presentations

- *Securities Law Issues in Private Company M&A.*, Utah State Bar, Securities Section (2018)
- *Executive Compensation Issues for Public Companies*, Utah State Bar, Business Law Section (2018)
- *Capital Raising Alternatives -- Overview and Selected Legal Issues*, Seminar (2009)
- *Executive Compensation Disclosure Rules*, Utah State Bar, Securities Section (2006)

Insights

October 14, 2025

SEC Gives Green Light to ExxonMobil's Retail Voting Program — A New Model for Retail Shareholder Participation

October 2, 2025

Impact of the Government Shutdown on Public Reporting Companies

June 1, 2023

Utah 2023 Legal Elite

January 23, 2019

Chemical Industry Update - January 2019

November 9, 2016

SEC Clarifies Baby Shelf Rules